

## Equality & Human Rights Impact Assessment (EHRIA)

This Equality and Human Rights Impact Assessment (EHRIA) will enable you to assess the **new, proposed or significantly changed** policy/ practice/ procedure/ function/ service\*\* for equality and human rights implications.

Undertaking this assessment will help you to identify whether or not this policy/ practice/ procedure/ function/ service\*\* may have an adverse impact on a particular community or group of people. It will ultimately ensure that as an Authority we do not discriminate and we are able to promote equality, diversity and human rights.

Before completing this form please refer to the EHRIA [guidance](#), for further information about undertaking and completing the assessment. For further advice and guidance, please contact your [Departmental Equalities Group](#) or [equality@leics.gov.uk](mailto:equality@leics.gov.uk)

*\*\*Please note: The term 'policy' will be used throughout this assessment as shorthand for policy, practice, procedure, function or service.*

<b>Key Details</b>	
<b>Name of policy being assessed:</b>	Minerals and Waste Local Plan: 2016
<b>Department and section:</b>	Chief Executive's Planning, Historic, & Natural Environment (PHNE)
<b>Name of lead officer/ job title and others completing this assessment:</b>	Phil Larter Planning Officer John Wright Team Manager Planning
<b>Contact telephone numbers:</b>	0116 305 7292
<b>Name of officer/s responsible for implementing this policy:</b>	Planning Officers in PHNE
<b>Date EHRIA assessment started:</b>	January 2016
<b>Date EHRIA assessment completed:</b>	

# Section 1: Defining the policy

## Section 1: Defining the policy

You should begin this assessment by defining and outlining the scope of this policy. You should consider the impact or likely impact of the policy in relation to all areas of equality, diversity and human rights, as outlined in Leicestershire County Council's Equality Strategy.

1	<p>What is new or changed in this policy? <i>What has changed and why?</i></p> <p><b>This is a review of two documents statutorily required by the Planning and Compulsory Purchase Act 2004 – the Minerals Core Strategy &amp; Development Control Policies document and the Waste Core Strategy &amp; Development Control Policies document. The review is required to take in to account new government planning policy (the National Planning Policy Framework), legislation (the Localism Act), government guidance (Planning Practice Guidance), and to provide new data for the provision of future minerals and waste developments. The aim of the Minerals and Waste Local Plan is to set out the key principles to guide the future winning and working of minerals and the form of waste management development in the County over the period to the end of 2031. The Development Management Policies set out the criteria against which planning applications for minerals and waste development will be considered. A monitoring framework is included to examine the efficacy and effects of the policies.</b></p> <p><b>The Local Plan addresses the need to provide protection to the environment and the amenity of local residents, whilst ensuring a steady supply of minerals and the provision of waste management facilities in accordance with Government policy and society's needs. It aims to maximise the use of alternative materials in order to reduce the reliance on primary-won minerals, and to significantly increase levels of reuse and recovery of waste and move away from landfill as a means of disposal, having regard to sustainability objectives. It also provides controls relating to the beneficial reinstatement of land following mineral working and landfill operations.</b></p>
2	<p>Does this relate to any other policy within your department, the Council or with other partner organisations? <i>If yes, please reference the relevant policy or EHRIA. If unknown, further investigation may be required.</i></p> <p><b>The policy relates to the Statement of Community Involvement which sets out how consultation on the draft versions of the Minerals and Waste Local Plan will take place and how the community could be engaged in this process.</b></p>
3	<p>Who are the people/ groups (target groups) affected and what is the intended</p>

	change or outcome for them?			
	<p><b>The Minerals and Waste Local Plan applies to the entire administrative area of Leicestershire County Council, so, principally, those affected could be any of the people living and working in Leicestershire (but not exclusively). The purpose of the Plan is to allow new development which would meet society's needs, so, new development would be taking place in and around local communities. This has the potential to affect people in proximity to any development through harm to their amenity from the development. However, one of the key aims of the Local Plan is to ensure that local residents are not significantly affected by new development.</b></p>			
<b>4</b>	Will this policy meet the Equality Act 2010 requirements to have due regard to the need to meet any of the following aspects? <b>(Please tick and explain how)</b>			
		<b>Yes</b>	<b>No</b>	<b>How?</b>
	Eliminate unlawful discrimination, harassment and victimisation	Yes		<b>The Local Plan protects all members of a community from unacceptable impacts of mineral and waste developments.</b>
	Advance equality of opportunity between different groups	Yes		<b>All groups are potentially affected by the Plan albeit as individuals and it will be universally applied and no distinction will be made between individuals.</b>
	Foster good relations between different groups	Yes		<b>By being non discriminatory.</b>

## Section 2: Equality and Human Rights Impact Assessment (EHRIA) Screening

### Section 2: Equality and Human Rights Impact Assessment Screening

The purpose of this section of the assessment is to help you decide if a full EHRIA is required.

If you have already identified that a full EHRIA is needed for this policy/ practice/ procedure/ function/ service, either via service planning processes or other means, then please go straight to [Section 3](#) on Page 7 of this document.

### Section 2

#### A: Research and Consultation

<b>5.</b>	Have the target groups been consulted about the following?  a) their current needs and aspirations and what is important to them;	<b>Yes</b>	<b>No*</b>
			<b>No, not directly but previous draft's</b>

	<p>b) any potential impact of this change on them (positive and negative, intended and unintended);</p> <p>c) potential barriers they may face</p>		<p><b>consultation open for all to comment upon.</b></p>
<b>6.</b>	If the target groups have not been consulted directly, have representatives been consulted or research explored (e.g. Equality Mapping)?		<b>No, but see above.</b>
<b>7.</b>	Have other stakeholder groups/ secondary groups (e.g. carers of service users) been explored in terms of potential unintended impacts?		<b>No, but see above.</b>
<b>8.</b>	<p>*If you answered 'no' to the question above, please use the space below to outline what consultation you are planning to undertake, or why you do not consider it to be necessary.</p> <p><b>A statutory consultation will be undertaken on the content of the draft Minerals and Waste Local Plan prior to it being finalised and adopted. A copy of the Plan and its supporting documents will be available on the Planning Group's web pages and on the County Council's 'Latest Consultations' page.</b></p>		

<b>Section 2</b>			
<b>B: Monitoring Impact</b>			
<b>9.</b>		<b>Yes</b>	<b>No</b>
	<p>Are there systems set up to:</p> <p>a) monitor impact (positive and negative, intended and unintended) for different groups;</p> <p>b) enable open feedback and suggestions from different communities</p>	<p><b>Yes, impact of adopted policies monitored annually through proposed monitoring framework. This monitoring is published</b></p>	

		d each year in the Council's Annual Monitoring Reports.	

**Note: If no to Question 8, you will need to ensure that monitoring systems are established to check for impact on the protected characteristics.**

**Section 2  
C: Potential Impact**

<b>10.</b>	Use the table below to specify if any individuals or community groups who identify with any of the ' <a href="#">protected characteristics</a> ' may potentially be affected by this policy and describe any positive and negative impacts, including any barriers.			
		<b>Yes</b>	<b>No</b>	<b>Comments</b>
	<b>Age</b>	<b>Yes</b>		<b>The draft Local Plan does not change statutory procedures on the protection of amenity and does not differentiate between individuals when protecting amenity, and consequently is neutral in its impact on groups with protected characteristics.</b>
	<b>Disability</b>	<b>Yes</b>		<b>The draft Local Plan does not change statutory procedures on the protection of amenity and does not differentiate between individuals when protecting amenity, and consequently is neutral in its impact on groups with protected characteristics.</b>
	<b>Gender Reassignment</b>	<b>Yes</b>		<b>The draft Local Plan does not change statutory procedures on the protection of amenity and does not differentiate between individuals when protecting amenity, and consequently is neutral in its impact on groups with protected characteristics.</b>
	<b>Marriage and Civil Partnership</b>	<b>Yes</b>		<b>The draft Local Plan does not change statutory procedures</b>

				on the protection of amenity and does not differentiate between individuals when protecting amenity, and consequently is neutral in its impact on groups with protected characteristics.
	Pregnancy and Maternity	Yes		The draft Local Plan does not change statutory procedures on the protection of amenity and does not differentiate between individuals when protecting amenity, and consequently is neutral in its impact on groups with protected characteristics.
	Race	Yes		The draft Local Plan does not change statutory procedures on the protection of amenity and does not differentiate between individuals when protecting amenity, and consequently is neutral in its impact on groups with protected characteristics.
	Religion or Belief	Yes		The draft Local Plan does not change statutory procedures on the protection of amenity and does not differentiate between individuals when protecting amenity, and consequently is neutral in its impact on groups with protected characteristics.
	Sex	Yes		The draft Local Plan does not change statutory procedures on the protection of amenity and does not differentiate between individuals when protecting amenity, and consequently is neutral in its impact on groups with protected characteristics.
	Sexual Orientation	Yes		The draft Local Plan does not change statutory procedures on the protection of amenity and does not differentiate between individuals when protecting amenity, and consequently is neutral in its impact on groups with protected characteristics.

	Other groups e.g. rural isolation, deprivation, health inequality, carers, asylum seeker and refugee communities, looked after children, deprived or disadvantaged communities	Yes		The draft Local Plan does not change statutory procedures on the protection of amenity and does not differentiate between individuals when protecting amenity, and consequently is neutral in its impact on groups with protected characteristics.
	Community Cohesion	Yes		The draft Local Plan is a fundamental part of the statutory process of determining planning applications and within this process communities are provided with the opportunity to respond to planning matters as individuals and as local groups outside of formal bodies and organisations.
11.	<p>Are the human rights of individuals <u>potentially</u> affected by this proposal? Could there be an impact on human rights for any of the protected characteristics? <b>(Please tick)</b></p> <p>Explain why you consider that any particular <a href="#">article in the Human Rights Act</a> may apply to your policy/ practice/ function or procedure and how the human rights of individuals are likely to be affected below: [NB. Include positive and negative impacts as well as barriers in benefiting from the above proposal]</p>			
		Yes	No	Comments
<b>Part 1: The Convention- Rights and Freedoms</b>				
	Article 2: Right to life		No	
	Article 3: Right not to be tortured or treated in an inhuman or degrading way		No	
	Article 4: Right not to be subjected to slavery/ forced labour		No	
	Article 5: Right to liberty and security		No	
	Article 6: Right to a fair trial		No	
	Article 7: No punishment without law		No	
	Article 8: Right to respect for private and family life		No	
	Article 9: Right to freedom of thought, conscience and		No	

	<b>religion</b>				
	<b>Article 10: Right to freedom of expression</b>	<b>Yes</b>		<b>The draft Local Plan is a fundamental part of the statutory process of determining planning applications and within this process the publicity of planning applications enables all elements of society the opportunity to freely make their views known on any planning application made to Leicestershire County Council; as do the consultation stages of the production of planning policy. People are also able to speak to the Development Control &amp; Regulatory Board on those planning applications upon which the Board will make a decision.</b>	
	<b>Article 11: Right to freedom of assembly and association</b>		<b>No</b>		
	<b>Article 12: Right to marry</b>		<b>No</b>		
	<b>Article 14: Right not to be discriminated against</b>	<b>Yes</b>		<b>Any person, if they so wish, can make comment on planning matters (see Article 10).</b>	
<b>Part 2: The First Protocol</b>					
	<b>Article 1: Protection of property/ peaceful enjoyment</b>	<b>Yes</b>		<b>The draft Local Plan is a fundamental part of the statutory process of determining planning applications. Such decisions have the potential to affect amenity.</b>	
	<b>Article 2: Right to education</b>		<b>No</b>		
	<b>Article 3: Right to free elections</b>		<b>No</b>		
<b>Section 2</b>					
<b>D: Decision</b>					
<b>12.</b>	Is there evidence or any other reason to suggest that:		<b>Yes</b>	<b>No</b>	<b>Unknown</b>
	a) this policy could have a different affect or adverse impact on any section of the community;			<b>No</b>	
	b) any section of the community may face barriers in benefiting from the proposal			<b>No</b>	



13.	Based on the answers to the questions above, what is the likely impact of this policy			
	No Impact <input type="checkbox"/>	Positive Impact <input type="checkbox"/>	Neutral Impact <input checked="" type="checkbox"/>	Negative Impact or Impact Unknown <input type="checkbox"/>
<b>Note: If the decision is 'Negative Impact' or 'Impact Not Known' an EHRIA Report is required.</b>				
14.	Is an EHRIA report required?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	

### Section 2: Completion of EHRIA Screening

Upon completion of the screening section of this assessment, you should have identified whether an EHRIA Report is required for further investigation of the impacts of this policy.

**Option 1:** If you identified that an EHRIA Report is required, continue to [Section 3](#) on Page 7 of this document to complete.

**Option 2:** If there are no equality, diversity or human rights impacts identified and an EHRIA report is not required, continue to [Section 4](#) on Page 14 of this document to complete.

## Section 3: Equality and Human Rights Impact Assessment (EHRIA) Report

### Section 3: Equality and Human Rights Impact Assessment Report

This part of the assessment will help you to think thoroughly about the impact of this policy and to critically examine whether it is likely to have a positive or negative impact on different groups within our diverse community. It is also to identify any barriers that may detrimentally affect under-represented communities or groups, who may be disadvantaged by the way in which we carry out our business.

Using the information gathered either within the EHRIA Screening or independently of this process, this EHRIA Report should be used to consider the impact or likely impact of the policy in relation to all areas of equality, diversity and human rights as outlined in Leicestershire County Council's Equality Strategy.

### Section 3

#### A: Research and Consultation

When considering the target groups it is important to think about whether new data needs to be collected or whether there is any existing research that can be utilised.

**15.** Based on the gaps identified either in the EHRIA Screening or independently of this process, how have you now explored the following and what does this information/data tell you about each of the diverse groups?

- a) current needs and aspirations and what is important to individuals and community groups (including human rights);
- b) likely impacts (positive and negative, intended and unintended) to individuals and community groups (including human rights);
- c) likely barriers that individuals and community groups may face (including human rights)

**16.** Is any further research, data collection or evidence required to fill any gaps in your understanding of the potential or known affects of the policy on target groups?

When considering who is affected by this proposed policy, it is important to think about consulting with and involving a range of service users, staff or other stakeholders who may be affected as part of the proposal.

**17.** Based on the gaps identified either in the EHRIA Screening or independently of this process, how have you further consulted with those affected on the likely impact and what does this consultation tell you about each of the diverse groups?

**18.** Is any further consultation required to fill any gaps in your understanding of the potential or known effects of the policy on target groups?

**Section 3**  
**B: Recognised Impact**

**19.** Based on any evidence and findings, use the table below to specify if any individuals or community groups who identify with any 'protected characteristics' are likely be affected by this policy. Describe any positive and negative impacts, including what barriers these individuals or groups may face.

	<b>Comments</b>
<b>Age</b>	

	<b>Disability</b>	
	<b>Gender Reassignment</b>	
	<b>Marriage and Civil Partnership</b>	
	<b>Pregnancy and Maternity</b>	
	<b>Race</b>	
	<b>Religion or Belief</b>	
	<b>Sex</b>	
	<b>Sexual Orientation</b>	
	<b>Other groups</b> e.g. rural isolation, deprivation, health inequality, carers, asylum seeker and refugee communities, looked after children, deprived or disadvantaged communities	
	<b>Community Cohesion</b>	

<b>20.</b>	Based on any evidence and findings, use the table below to specify if any particular Articles in the Human Rights Act are <u>likely</u> apply to your policy. Are the human rights of any individuals or community groups affected by this proposal? Is there an impact on human rights for any of the protected characteristics?	
		<b>Comments</b>
	<b>Part 1: The Convention- Rights and Freedoms</b>	

	<b>Article 2: Right to life</b>	
	<b>Article 3: Right not to be tortured or treated in an inhuman or degrading way</b>	
	<b>Article 4: Right not to be subjected to slavery/ forced labour</b>	
	<b>Article 5: Right to liberty and security</b>	
	<b>Article 6: Right to a fair trial</b>	
	<b>Article 7: No punishment without law</b>	
	<b>Article 8: Right to respect for private and family life</b>	
	<b>Article 9: Right to freedom of thought, conscience and religion</b>	
	<b>Article 10: Right to freedom of expression</b>	
	<b>Article 11: Right to freedom of assembly and association</b>	
	<b>Article 12: Right to marry</b>	
	<b>Article 14: Right not to be discriminated against</b>	
	<b>Part 2: The First Protocol</b>	
	<b>Article 1: Protection of property/ peaceful enjoyment</b>	
	<b>Article 2: Right to education</b>	
	<b>Article 3: Right to free elections</b>	
<b>Section 3</b>		
<b>C: Mitigating and Assessing the Impact</b>		
Taking into account the research, data, consultation and information you have reviewed and/or carried out as part of this EHRIA, it is now essential to assess the impact of the policy.		
<b>21.</b>	If you consider there to be actual or potential adverse impact or discrimination, please outline this below. State whether it is justifiable or legitimate and give reasons.	

N.B.

i) If you have identified adverse impact or discrimination that is illegal, you are required to take action to remedy this immediately.

ii) If you have identified adverse impact or discrimination that is justifiable or legitimate, you will need to consider what actions can be taken to mitigate its effect on those groups of people.

- 22.** Where there are potential barriers, negative impacts identified and/or barriers or impacts are unknown, please outline how you propose to minimise all negative impact or discrimination.
- a) include any relevant research and consultations findings which highlight the best way in which to minimise negative impact or discrimination
  - b) consider what barriers you can remove, whether reasonable adjustments may be necessary, and how any unmet needs that you have identified can be addressed
  - c) if you are not addressing any negative impacts (including human rights) or potential barriers identified for a particular group, please explain why

### Section 3

#### D: Making a decision

- 23.** Summarise your findings and give an overview as to whether the policy will meet Leicestershire County Council's responsibilities in relation to equality, diversity, community cohesion and human rights.

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<b>Section 3</b>	
<b>E: Monitoring, evaluation &amp; review of your policy</b>	
<b>24.</b>	Are there processes in place to review the findings of this EHRIA and make appropriate changes? In particular, how will you monitor potential barriers and any positive/ negative impact?
<b>25.</b>	How will the recommendations of this assessment be built into wider planning and review processes? <i>e.g. policy reviews, annual plans and use of performance management systems</i>

**Section 3:  
F: Equality and human rights improvement plan**

Please list all the equality objectives, actions and targets that result from the Equality and Human Rights Impact Assessment (EHRIA) (continue on separate sheets as necessary). These now need to be included in the relevant service plan for mainstreaming and performance management purposes.

Equality Objective	Action	Target	Officer Responsible	By when



## Section 4: Sign off and scrutiny

Upon completion, the Lead Officer completing this assessment is required to sign the document in the section below.

It is required that this Equality and Human Rights Impact Assessment (EHRIA) is scrutinised by your [Departmental Equalities Group](#) and signed off by the Chair of the Group.

Once scrutiny and sign off has taken place, a depersonalised version of this EHRIA should be published on Leicestershire County Council's website.

### Section 4

#### A: Sign Off and Scrutiny

Confirm, as appropriate, which elements of the EHRIA have been completed and are required for sign off and scrutiny.

**Equality and Human Rights Assessment Screening**

**Equality and Human Rights Assessment Report**

1<sup>st</sup> Authorised Signature (EHRIA Lead Officer): .....

Date: .....

2<sup>nd</sup> Authorised Signature (DEG Chair): .....

Date: .....